DENISE VOIGT CRAWFORD SECURITIES COMMISSIONER

DON A. RASCHKE DEPUTY SECURITIES COMMISSIONER

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# Texas State Securities Board

208 E. 10th Street, 5th Floor Austin. Texas 78701-2407 www.ssb.state.tx.us

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JACK D. LADD CHAIRMAN

KENNETH W. ANDERSON, JR. MEMBER

BRYAN K. BROWN MEMBER

BETH ANN BLACKWOOD MEMBER

WILLIAM R. SMITH

IN THE MATTER OF
THE AGENT REGISTRATION OF
JOHN CLAUGE HUSBANDS

Order No. IC06-CDO-34

TO: John Clauge Husbands (CRD No. 1695699)
MML Investors Services, Inc.
1295 State Street
Springfield, MA 01111-0001

### **DISCIPLINARY ORDER**

Be it remembered that John Clauge Husbands ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and the Conclusions of Law contained herein.

#### FINDINGS OF FACT

- 1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, Tex. Rev. Civ. Stat. Ann. art. 581-1 et seq. (Vernon 1964 & Supp. 2005) ("Texas Securities Act") and the Administrative Procedure Act, Tex. GOV'TCODE Ann. § 2001.001 et seq. (Vernon 2000 & Supp. 2005) ("Administrative Procedure Act").
- 2. From on or about December 12, 1996 to the present, Huber, Cardono & Moring, L.P. ("HC&M") has been registered under the Investment Advisers Act of 1940 with the U. S. Securities and Exchange Commission as an investment adviser and is a federal covered investment adviser.
- 3. From on or about February 24, 1997, HC&M has been notice-filed with the Securities Commissioner.
- 4. From on or about May 12, 2000 to on or about December 31, 2001, Respondent was registered with the Securities Commissioner as an investment adviser representative for HC&M.
- 5. From on or about May 7, 1998 to the present, Respondent has been registered with the Securities Commissioner as an agent of MML Investors Services, Inc.

## **ORDER**

- 1. It is therefore ORDERED that John Clauge Husbands, is hereby REPRIMANDED.
- 2. It is further ORDERED that John Clauge Husbands is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Two Thousand Dollars (\$2,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Two Thousand Dollars (\$2,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.
- 3. It is further ORDERED that John Clauge Husbands CEASE AND DESIST from acting as an investment adviser representative in Texas until he is registered with the Securities Commissioner or is fully exempt from such registration.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this \_8<sup>+h</sup> day of \_dugust\_,2006.

DENISE VOIGT CRAWFORD Securities Commissioner

Respondent:

John Clauge Husbands

Approved as to Form:

Benette L. Zivley

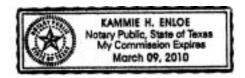
Director

Inspections and Compliance Division

## **ACKNOWLEDGMENT**

On the \_\_\_\_\_\_\_\_\_, 2006, John Clauge Husbands ("Respondent") personally appeared before me, executed the foregoing Order, and acknowledged that:

- ■ Respondent has read the foregoing Order;
- 2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order, and the Findings of Fact and Conclusions of Law contained therein; and
- **4.** Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

Notary Public in and for The State of Lexas

My commission expires on: 03-09-000